

# Company/Incorporated Body/Club History Declaration

Liquor and Gaming Branch  
Department of Treasury and Finance

---

## A. Application requirements

The following declaration is required as part of your application for a Gaming Licence.  
If you answer YES to any questions, please provide details in a separate document.

## B. Company/Incorporated Body/Club details

Full company/incorporated body/club  
name

ABN/ACN Number

## C. Company/Incorporated Body/Club officers (ie. Director/s, Secretary etc)

An officer of a corporation is a director or secretary of the corporation or a person who makes, or participates in making, decisions that affect the whole, or a substantial part, of the business of the corporation; or who has the capacity to affect significantly the corporation's financial standing. Refer to the [Corporations Act 2001](#) for further information.

[Associate Application](#) forms are to be lodged as part of this Gaming Licence application for the listed company officers.

Officer surname

Officer given name/s

Position held

Officer surname

Officer given name/s

Position held

Officer surname

Officer given name/s

Position held

Officer surname

Officer given name/s

Position held

## D. Government Agencies

If you answer YES to any of these questions, please provide details in a separate document.

- Has a director ever been disqualified from acting as such under any provision of current or previous Australian Corporations and Securities Legislation (or overseas equivalent)?  Yes  No
- Has the organisation ever been under investigation by the Australian Securities and Investments Commission or any other Government authority to your knowledge?  Yes  No
- Has the organisation ever been associated with an organisation that is currently, or has been under investigation by the Australian Securities and Investments Commission or any other Government authority to your knowledge?  Yes  No
- Has the organisation ever been granted a licence by Government to supply liquor, operate hotel or conduct bookmaking activities, or licensed as a real estate agent, private enquiry agent or security officer?  Yes  No
- Has the organisation ever been refused a licence by Government to supply liquor, operate hotel or conduct bookmaking activities, or had any such licence revoked?  Yes  No

## E. Financial History

If you answer YES to any of these questions, please provide details in a separate document.

- Has there been any substantial change to the financial situation of the organisation since the last financial accounts?  Yes  No
- Has the organisation ever been subject to an adverse credit rating?  Yes  No
- Has the organisation acted as guarantor for any person or entity which is currently in default of that financial agreement?  Yes  No
- Has the organisation ever been placed in external administration (that is liquidation, receivership, administration or scheme of arrangement)?  Yes  No
- Does the organisation have any financial interest, whether directly or indirectly, with an individual or in any business, whether registered or not, in Australia or elsewhere?  Yes  No
- Has the organisation ever been insolvent?  Yes  No
- Does the organisation have any current loans or has it obtained funds from any persons, companies or institutions?  Yes  No

## F. Gaming History

If you answer YES to any of these questions, please provide details in a separate document.

- Does the organisation hold a licence in the casino/gaming industry, either in Australia or overseas? (If YES, please include Licence Type and Number, Jurisdiction and name of the Control Authority in a separate document)  Yes  No
- Has the organisation applied for a licence in the casino/gaming industry either in Australia or overseas? (If YES, please include Licence Type and Number, Jurisdiction and name of the Control Authority in a separate document)  Yes  No

Has the organisation ever been granted a licence to conduct bookmaking activities? (If YES, please include Licence Type and Number, Jurisdiction and name of the Control Authority in a separate document)  Yes  No

Has the organisation ever been refused a licence to conduct bookmaking activities or had any such licence revoked?  Yes  No

Has the organisation ever been refused a licence to conduct casino/gaming activities or had any such licence revoked?  Yes  No

## G. Business Affiliations

If you answer YES to any of these questions, please provide details in a separate document.

Does the organisation have any other company, or person, acting on its behalf in Australia or overseas?  Yes  No

Does the organisation have any other agency or branch in Australia or overseas?  Yes  No

Has the organisation ever changed its name?  Yes  No

Does the organisation have, or does it use, or has it previously used, any other business name?  Yes  No

Has the organisation or any director or office bearer of the company been associated with the ownership, administration or management of:

- a casino;
- keno or lottery operations;
- interactive gaming;
- race wagering or sports betting operations;
- a club, hotel or tavern;
- any other gaming or wagering activity (please specify); or
- a manufacturer or supplier of gaming equipment?

Does the organisation have any interest, financial or otherwise, in any other company or with any person or business, or has the organisation ever provided financial assistance or other support to any other company, person, business, association or other body involved with the ownership, administration or management of:  Yes  No

- a casino;
- keno or lottery operations;
- interactive gaming;
- race wagering or sports betting operations;
- a club, hotel or tavern;
- any other gaming or wagering activity (please specify); or
- a manufacturer or supplier of gaming equipment?

## H. Litigation History

If you answer YES to any of these questions, please provide details in a separate document.

Has the company/incorporated body/club ever been convicted of an offence?  Yes  No

Are you aware of any current charges or summons against the organisation, which are before a Court for any offence or violation?  Yes  No

Has a director ever been disqualified from acting as such under any provision of current or previous Australian Corporations and Securities Legislation (or overseas equivalent)?  Yes  No

Has the organisation ever been under investigation by the Australian Securities and Investments Commission or any other Government authority to your knowledge?  Yes  No

Has the organisation ever been associated with a company that is currently, or has been, under investigation by the Australian Securities and Investments Commission or any other Government authority to your knowledge?  Yes  No

Is the organisation the subject of any civil litigation?  Yes  No

## I. Authorisation and signature

I acknowledge that it is an offence under the [Gaming Control Act 1993](#) to give false or misleading information in relation to an application for a Gaming Licence. I certify that the particulars contained in the completed form are true and correct in every detail and fully disclose the information required to complete this application.

By submitting this application and providing my email address, I consent to information being given by means of electronic communication as defined by the *Electronic Transactions Act 2000 (Tasmania)*

### If the applicant is a company:

I, \_\_\_\_\_ am duly authorised to make this application on behalf of  
(name of contact person)

\_\_\_\_\_  
(name of company)

Full name

Position

Signature

Date

### Personal Information Protection Statement

Personal information is collected by the Tasmanian Liquor and Gaming Commission and the Commissioner for Licensing and used for the purpose of managing, assessing, advising upon and determining the relevant application. It may be used for other purposes as permitted by the [Gaming Control Act 1990](#), the [Liquor Licensing Act 1990](#) and relevant Regulations. Failure to provide the information required may result in an application not being able to be processed, or a service not being able to be provided.

Your personal information will be used for the primary purpose for which it is collected, and may be disclosed to contractors and agents of the Liquor and Gaming Branch, the Tasmanian Liquor and Gaming Commission, law enforcement agencies, debt collection and other finance agencies for the purposes of conducting a credit check, courts and other organisations authorised to collect it.

The [Personal Information Protection Act 2004](#) governs the collection, use and disclosure of personal information. The Department of Treasury and Finance is the custodian of personal information it collects. Further information about Treasury's Personal Information Protection Policy is available at [www.treasury.tas.gov.au/pip](http://www.treasury.tas.gov.au/pip).