

REGULATION OF LICENSED PROVIDERS UNDER THE GAMING CONTROL ACT 1993

PROVISION	INTERNAL CONTROLS
SECTION OF THE ACT	76ZX(3), 76ZZI AND 76ZZIA

EXPLANATION

A licensed provider must submit for approval procedure manuals that cover the gaming and/or wagering operation of the licensed provider.

ACT REQUIREMENTS

A licensed provider must not conduct or offer to conduct a gaming activity except where the control system of the provider is approved by the Tasmanian Liquor and Gaming Commission. The Commission must not approve a control system for a licensed provider unless it is satisfied that it is suitable for use in respect of the gaming businesses conducted or to be conducted by the licensed provider. The Commission may set general control system standards.

The licensed provider must ensure that the control system complies with the general control system standards, if any, set out by the Commission.

The Commission may revoke or from time to time amend any general control system standards.

The Commission may grant an emergency approval to a modification of any control system if it is satisfied that the modification is (or, if the modification has already been made, was) necessary to ensure the integrity of the control system.

TIMEFRAMES

This provision commences immediately a Tasmanian Gaming Licence is issued.

SUGGESTED PROCESS

Control systems are implemented to enable all employees to follow standard processes and procedures in relation to gaming and/or wagering businesses. Below is a list of topics which should be included in a control system.

Document Control

Organisation

- Staff positions
- Organisational chart

Registered Players

- Identification of registered players
- Suspension, freezing and closing accounts
- Deposit and withdrawal procedures

Control systems may be located in one document with individual parts or may be contained in separate documents. Any change to control system documentation must be approved by the Commission before the new or changed process commences. Any changes to sub-ordinate, supporting documentation is to be notified to the Commission on a quarterly basis. A senior employee of the licensed provider should be responsible for maintenance of the documentation and for submitting any changes for approval.

LIQUOR AND GAMING CONTACT DETAILS

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Prepared by the Liquor and Gaming Branch

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